

# Crossmodal Pattern Discrimination in Humans and Robots: A Visuo-Tactile Case Study

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## 12 **Abstract**

13 The quality of crossmodal perception hinges on two factors: The accuracy of the independent  
14 unimodal perception and the ability to integrate information from different sensory systems. In  
15 humans, the ability for cognitively demanding crossmodal perception diminishes from young to old  
16 age.

17 To research to which degree impediments of these two abilities contribute to the age-related decline  
18 and to evaluate how this might apply to artificial systems, we replicate a medical study on visuo-  
19 tactile crossmodal pattern discrimination utilizing state-of-the-art tactile sensing technology and  
20 artificial neural networks. We explore the perception of each modality in isolation as well as the  
21 crossmodal integration.

22 We show that in an artificial system the integration of complex high-level unimodal features  
23 outperforms the comparison of independent unimodal classifications at low stimulus intensities  
24 where errors frequently occur. In comparison to humans, the artificial system outperforms older  
25 participants in the unimodal as well as the crossmodal condition. However, compared to younger  
26 participants, the artificial system performs worse at low stimulus intensities. Younger participants  
27 seem to employ more efficient crossmodal integration mechanisms than modelled in the proposed  
28 artificial neural networks.

29 Our work creates a bridge between neurological research and embodied artificial neurocognitive  
30 systems and demonstrates how collaborative research might help to derive hypotheses from the allied  
31 field. Our results indicate that empirically-derived neurocognitive models can inform the design of  
32 future neurocomputational architectures. For crossmodal processing, sensory integration on lower  
33 hierarchical levels, as suggested for efficient processing in the human brain, seems to improve the  
34 performance of artificial neural networks.

## 35 **1 Introduction**

36 Human behavior in the natural environment crucially depends on the continuous processing of  
37 simultaneous input to different sensory systems. Integration of these sensory streams creates  
38 meaningful percepts and allows for fast adaption to changes in our surrounding (1). The success of  
39 this crossmodal integration depends on two factors: The accuracy of the independent unimodal  
40 perception and the ability to integrate information from different sensory systems (2).

41 In a recent human behavioral study (Higgen et al. submitted; also posted at bioRxiv, doi:  
42 <https://doi.org/10.1101/673491>), we found that older participants show significant difficulties in a  
43 well-established visuo-tactile discrimination task compared to younger participants (3–5). This task  
44 combines the typical demands of crossmodal interactions. Participants have to detect simultaneously  
45 presented visual and tactile dot patterns and evaluate their congruency. With aging, performance  
46 decreases in several cognitive processes (6–9). The processing of unimodal sensory stimuli  
47 constitutes one major domain of this deterioration (10). However, our data revealed that difficulties  
48 of older participants go beyond a simple decline in unimodal stimulus detection. The data suggest  
49 that the integration of information from different sensory systems in higher-order neural networks  
50 might be one of the key reasons of poor performance of older participants.

51 Age-related alterations in human neural networks and their effects on local computing and long-range  
52 communication in the brain, which are needed for crossmodal integration, are not well understood  
53 (11–13). Causal assignment of altered neural function to behavioral changes is one of the great  
54 challenges in neuroscience. As the percentage of older people in the overall population increases,  
55 age-related declines gain more and more importance. Understanding the mechanisms of these  
56 declines is vital to develop adequate support approaches (see for example 13).

57 In the current study, we implemented a new approach by adapting our recent human behavioral study  
58 to an artificial neural network scenario. We employed embodied neurocognitive models to evaluate  
59 different hypotheses of the contribution of unimodal processing and crossmodal integration for the  
60 specific visuo-tactile discrimination task.

61 The design of high-performing artificial neural networks for crossmodal integration is likewise one  
62 of the most significant challenges in robotics. Therefore, the adaption of a human neurological  
63 experiment to an artificial scenario might help to establish common grounds in human and robotic  
64 research and the mutual exchange of theory. On the one hand, it will allow for an evaluation of the  
65 performance of artificial systems compared to humans with different abilities and help to develop  
66 more biologically plausible and performant artificial neural network (ANN) models. On the other  
67 hand, network models might help to understand the reasons for poor performance in older humans  
68 and can be a basis for the development of assistive devices.

## 69 **2 Material and Methods**

### 70 **2.1 Visuo-tactile discrimination task in humans**

71 In our human behavioral experiment, 20 younger (11 female,  $M = 24.05$  years,  $SD = 2.50$ ) and 20  
72 healthy older volunteers (11 female,  $M = 72.14$  years,  $SD = 4.48$ ) performed an adapted version of a  
73 well-established visuo-tactile pattern discrimination task (3–5). In this task, participants had to  
74 compare Braille patterns presented tactilely to the right index fingertip with visual patterns presented  
75 on a computer screen (Figure 1). Patterns were presented synchronously, and participants had to  
76 decide whether they were congruent or incongruent.

77 Tactile stimulation was delivered via a Braille stimulator (QuaeroSys Medical Devices, Schotten,  
78 Germany, see Figure 1A), consisting of eight pins arranged in a four-by-two matrix, each 1mm in  
79 diameter with a spacing of 2.5mm. Each pin is controlled separately. Pins can be elevated (maximum  
80 amplitude 1.5mm) for a specific duration to form different patterns. Visual patterns were designed  
81 analogously to the Braille patterns and presented left of a central fixation point on a noisy  
82 background (Perlin noise; see Figure 1B) A set of four clearly distinct patterns was used in the study  
83 (see Figure 1B) to account for the diminished unimodal tactile perception of the older participants.

84 At the beginning of the experiment, unimodal stimulus intensities were adjusted individually based  
85 on an adaptive-staircase procedure with a target detection accuracy of approximately 80%. The  
86 adaptive-staircase procedure was performed in both modalities, to ensure comparable detection  
87 performance across modalities and between older and younger participants. Tactile stimulus intensity  
88 was adjusted by changing the height of the braille pattern (pin height). Visual stimulus intensity was  
89 adjusted by changing the patterns' contrast against the background (gray level in % of black).  
90 Finally, participants performed the visuo-tactile discrimination task at the afore-defined unimodal  
91 thresholds.

92 The study was conducted in accordance with the Declaration of Helsinki and was approved by the  
93 local ethics committee of the Medical Association of Hamburg. All participants gave written  
94 informed consent. For a detailed description of the experiment, please see (Higgen et al. submitted;  
95 also posted at bioRxiv, doi: <https://doi.org/10.1101/673491>).

96 - Figure 1 here -

## 97 **2.2 Robotic adaption**

98 The setup described above was implemented in a robotic experiment (Figure 1C). For Braille  
99 stimulation, the same stimulator (QuaeroSys Medical Devices, Schotten, Germany, see Figure 1A)  
100 was used. The Braille stimuli were applied to the fingertips of a Shadow C6 Dexterous Hand (online:  
101 [www.shadowrobot.com](http://www.shadowrobot.com)) equipped with BioTac tactile sensors (SynTouch LLC, BioTac Product  
102 Manual (V20), SynTouch LLC, California, Mar 2015. [www.syntouchinc.com/wp-  
103 content/uploads/2017/01/BioTac\\_Product\\_Manual.pdf](http://www.syntouchinc.com/wp-content/uploads/2017/01/BioTac_Product_Manual.pdf); (14)). The sensor surface of the BioTac  
104 closely matches the size and shape of a human finger, and it was possible to align and center the  
105 sensor onto the Braille stimulator without modifying the setup. To perceive the haptic stimuli of the  
106 Braille stimulator, the sensor can detect multiple contacts through indirect measurement. The  
107 turquoise rubber shell is filled with a conductive liquid and held in place around an inner rigid  
108 "bone". When contacting an object, the rubber deforms, changing the overall pressure of the liquid (1  
109 channel) and also the impedance between a set of electrodes patterned on the bone (19 channels). At  
110 the same time, the liquid temperature changes due to the contact (2 channels). Raw data from the  
111 sensor combines the measured pressure, temperature and impedances but it is difficult to interpret  
112 this raw data (16,17). Because the temperature conditions during recording remained stable, we  
113 omitted the respective sensor readings and fed the 20 other channels into an ANN to learn the  
114 mapping from raw data to applied Braille stimuli. As visual stimuli, we use the same visual stimuli  
115 employed in the human experiment. These stimuli are directly fed into the neural architecture without  
116 an intermediate sensor like a camera. As detailed below, the comparison with the human experiments  
117 relies on the exact gray values used in the stimuli; direct input of the images to the network avoids  
118 any level-shifts due to inconsistent camera exposure control. As the detection and classification of the  
119 tactile and visual stimuli require offline learning, the adaptive staircase procedure could not be used.  
120 Instead, we recorded patterns of different complexity in both modalities so that the required stimuli

121 (corresponding to about 80% single-channel accuracy) could be presented to the trained ANNs after  
122 learning. We recorded several hours of raw sensor data from the robot, labeled with the presented  
123 tactile and visual patterns. In total, 3000 tactile samples were collected; matching visual stimuli are  
124 generated via image manipulation.

### 125 **2.3 Computational models**

126 To evaluate the influence of the actual crossmodal integration of high-level unimodal features in  
127 contrast to just comparing unimodal classification, we propose two neural architectures: The V-  
128 architecture (see Figure 2A) statically compares unimodal classification results. It consists of two  
129 separate networks that perform unimodal classification of the tactile and visual input pattern,  
130 respectively. Eventually, both classification results are compared in the final layer. In contrast, the Y-  
131 architecture (see Figure 2B) integrates high-level feature representations of both modalities. It also  
132 has two separate columns for unimodal feature extraction on the visual and tactile data. However,  
133 instead of performing a unimodal pattern classification, the extracted features are concatenated and  
134 further integrated by a series of dense layers, the stem of the Y-architecture. This network performs a  
135 late integration of crossmodal information. Empirical and automated optimization resulted in the  
136 following hyperparameters: For the visual columns, two convolution layers L1 and L2 after a batch-  
137 normalization step are followed by a pooling layer each (max-pool after L1, global max-pool after  
138 L2). For the V-architecture, the final dense layer of each arm uses soft-max activation to classify the  
139 four different outputs; in a second step these outputs are compared for equality. In the Y-architecture,  
140 the extracted high-level features are directly propagated. For the haptic modality, we use an MLP  
141 with three hidden layers (20 inputs from the BioTac sensor, three layers with 512 neurons each,  
142 followed by one softmax output layer with 4 neurons, corresponding to the 4 Braille patterns). Again,  
143 the last layer follows for the V-architecture only. Finally, the crossmodal integration in the Y-  
144 architecture is performed by a series of dense layers with a decreasing number of hidden units (64,  
145 32, 16) followed by a binary softmax layer for same or different patterns.

146 - Figure 2 here -

### 147 **2.4 Unimodal and crossmodal training**

148 The training for both networks follows the same pattern: First, each unimodal column of the network  
149 is trained. In the case of the non-integrating V-architecture a static comparator follows. For the  
150 crossmodally integrating Y-architecture, a third training phase follows where the complete Y-  
151 architecture is trained. The tactile branch is pre-trained for 500 episodes and the visual network for  
152 70 episodes. For the Y-architecture, at first only the integration network is trained for 70 episodes  
153 with frozen unimodal weights, and then the entire network is trained for another 70 episodes. For all  
154 training phases, the Adam optimizer with a learning rate of 0.001 and a batch size of 16 was used.  
155 The noisy visual input images are generated by placing one of four target Braille patterns (43x104px)  
156 randomly on one of 48 randomly generated background images (1024x768px, see Fig. 2 left). The  
157 background consists of a Perlin noise pattern with a gray range of between 40% and 60% of black  
158 (mean 53.7%). The stimulus intensity (i.e., gray level in % of black) of the pattern was selected to be  
159 between 47% and 100%. Samples were generated dynamically for each episode.

160 The Braille patterns become increasingly difficult to see for humans as the gray levels of the patterns  
161 blend with the gray levels of the background. On the robot, it might be possible to achieve even  
162 higher classification accuracy using classical computer vision algorithms and prior knowledge about  
163 how the data was generated. This would, however, undermine the goal to create controllable  
164 unimodal classification performances. Similar to the visual modality, a sufficient number of haptic

165 samples with different pin heights were collected. Depending on the pin height and the unimodal  
166 network, different classification results can be achieved. Since only a limited amount of tactile  
167 training data could be collected on the real sensor, data augmentation was applied during training,  
168 generating each training sample by mixing two randomly selected tactile samples of the same pattern  
169 and pin height using a random interpolation/extrapolation factor between -50% and +150%. As in our  
170 human behavioral experiment, visual and tactile stimuli are paired so that the probability of both  
171 stimuli within a crossmodal sample pair representing the same symbol is 50%, equal to the  
172 probability of both stimuli representing different symbols. All test results for artificial neural  
173 networks were obtained through 10-fold cross-validation.

## 174 **3 Results**

### 175 **3.1 Human behavior**

176 In our human behavioral study, tactile and visual thresholds for a pattern detection accuracy of  
177 around 80% were estimated (See Table 1). Older participants showed higher thresholds for unimodal  
178 tactile and visual pattern detection compared to younger participants. In the crossmodal task older  
179 participants showed a significantly weaker performance compared to the unimodal condition when  
180 using the individual unimodal perception thresholds. In contrast, younger participants showed a  
181 stable performance of around 80% (See Table 1).

182 In a control experiment, younger participants showed a performance of 96.2% in the visuo-tactile  
183 discrimination task at thresholds comparable to the older group.

184 - Table 1 here -

### 185 **3.2 Artificial neural networks**

186 To test the individual classification accuracy of both channels, and to compare them to the  
187 performance of the human participants in the original experiment, both models were fed inputs of  
188 varying difficulty (gray level for the visual channel, pin height for the haptic channel). A 10-fold  
189 cross-validation was performed by splitting the 3000 samples into 90% training and 10% test data.  
190 The results for the visual and tactile channel can be seen in Figure 3.

191 In the visual condition, the classification accuracy was on average 99.04% and started dropping once  
192 the gray value of the pattern also appeared in the background image (values between 40% and 60%).  
193 Despite the increasing noise level, the performance of the network was high. At the lowest gray level  
194 (47 in % of black) pattern detection accuracy was at 91.42%. At a gray level of 54 (80% performance  
195 threshold of the older human participants) detection accuracy was at 98.85%. In the unimodal tactile  
196 condition, the artificial network showed a sigmoid learning curve, comparable to the human  
197 participants. A classification accuracy of 80% was reached around 730 $\mu$ m (82% accuracy).

198 - Figure 3 here -

199 The results for the multimodal V-architecture on the discrimination task are shown in Figure 4A. As  
200 expected, the performance of the network degrades when the channels are too noisy, but accuracy  
201 improves quickly as the signal quality (gray level, pin height) becomes better. The corresponding  
202 results for the Y-architecture that performs late crossmodal integration are shown in Figure 4B. For  
203 low stimulus intensities, the Y-architecture performs better than the V-architecture.

204 - Figure 4 here -

205 When comparing the performance of the artificial neural networks in the visuo-tactile discrimination  
206 task to the human participants at their unimodal thresholds, it becomes obvious that both, the V- and  
207 the Y-architecture, outperform the older participants (see Table 2). Both show a performance above  
208 95%, while older participants show a discrimination accuracy of 66.2%. The performance of the  
209 younger participants (96.2%) seems to be comparable to the ANN at these stimulus intensities.  
210 However, at the low stimulus intensities that constitute the thresholds of the younger participants, the  
211 performance of both networks is weaker than that of the younger participants (78.31%). At these  
212 stimulus intensities, the Y-architecture (62.5%) still outperforms the V-architecture (56.4%).

213 - Table 2 here -

## 214 4 Discussion

215 This study aimed to investigate the transfer of a human behavioral experiment to an artificial neural  
216 network scenario and to compare the performance of different embodied neurocognitive models to  
217 performance of younger and older humans.

218 We implemented two artificial neural network models to evaluate different hypotheses of the  
219 contribution of unimodal processing and crossmodal integration to the visuo-tactile discrimination  
220 task. The first artificial network (V-architecture) implements a model for the integration of fully-  
221 processed results of the unimodal sensory streams. In contrast, the second network (Y-architecture)  
222 implements a model with an emphasis on the integration of information during crossmodal  
223 processing, integrating complex higher-level features from the unimodal streams.

224 The data show that in an artificial system, the integration of complex high-level unimodal features  
225 outperforms the comparison of independent unimodal classifications at low stimulus intensities even  
226 though the unimodal processing columns were identical. In our corresponding human behavioral  
227 experiment younger participants showed a stable performance in the crossmodal task at the unimodal  
228 thresholds while older participants showed a significantly weaker performance (Higgen et al.  
229 submitted; also posted at bioRxiv, doi: <https://doi.org/10.1101/673491>). The results suggest impaired  
230 mechanisms of crossmodal integration in the aged brain. Intriguingly, both datasets indicate that not  
231 only detection of unimodal stimuli but also mechanisms of integration are crucial for performance in  
232 crossmodal integration. The data of our two corresponding experiments now allow for comparing  
233 performances of the artificial neural networks and the human participants.

234 In the unimodal visual condition, we could not reach a target detection accuracy of 80% in the ANN.  
235 The data show that visual pattern recognition in artificial systems can be performed at very high  
236 levels. However, comparing this performance to the human participants is difficult, as stimuli were  
237 directly fed into the neural architecture without an intermediate sensor like a camera. Real-world data  
238 collection with adaptive camera exposure might lead to a weaker performance of the ANN in visual  
239 pattern detection. In the unimodal tactile condition, the performance of the ANN and the younger  
240 human participants was comparable, with a slight lead of the younger human participants. As the  
241 setup in the unimodal tactile condition was exactly the same in both experiments, the results show  
242 that state-of-the-art tactile sensors used can perform at a level almost comparable to humans (18). In  
243 both conditions, the ANN performed distinctively better than older participants.

244 In the crossmodal discrimination task, both artificial neural networks show high performance at high  
245 visual and tactile stimulus intensities (see Table 2). Performance is distinctively better compared to

246 older participants and seems to be slightly better compared to young participants (see Table 1).  
247 However, for low stimulus intensities, the performance of the artificial networks lies below that of  
248 the younger human participants, despite the comparable or even higher performance in unimodal  
249 pattern detection. At low stimulus intensities, crossmodal integration appears to be more efficient and  
250 noise-resistant in younger human participants compared to the artificial systems. Comparing the  
251 performance of the artificial neural networks at these low stimulus intensities, the Y-architecture is  
252 performing better than the V-architecture. The Y-architecture seems to be more efficient compared to  
253 the V-architecture in integrating crossmodal stimuli at low intensities.

254 Taken together, the results for the artificial neural networks as well as human participants emphasize  
255 the importance of the mechanisms of integration for successful crossmodal performance. Early  
256 integration of incompletely processed sensory information seems to be crucial for efficient  
257 processing in crossmodal integration (19–22).

258 One might argue, that the V-architecture does not seem to be suitable to depict processes in the  
259 human brain as a decline in crossmodal integration processes is accompanied with poorer  
260 performance, as shown for the older participants in the human behavioral study. In contrast, the Y-  
261 architecture represents a more biological plausible network, approaching the efficient crossmodal  
262 processing in the young human brain. Still, our results suggest a superior mechanism for crossmodal  
263 stimulus processing in the young human brain. Further research is needed to answer the question of  
264 how young brains successfully integrate crossmodal information and which of these mechanisms can  
265 be adapted in artificial systems. It has been suggested that efficient stimulus processing in the human  
266 brain depends on recurrent neural networks and sensory integration on even lower hierarchical levels  
267 (23). Developing such approaches in future work might, on the one hand, improve the performance  
268 of artificial devices, but on the other hand, also give insights into the question which disturbances of  
269 the system lead to suboptimal functioning in the aged brain.

270 **5 Author Contributions**

271 FH: study idea, study design, data acquisition, data analyses, interpretation, preparation of  
272 manuscript. PR: study idea, study design, data acquisition, data analyses, interpretation, preparation  
273 of manuscript. MG: study idea, study design, data acquisition, data analyses, interpretation,  
274 preparation of manuscript. MK: study idea, interpretation, preparation of manuscript. NH: study idea,  
275 study design, interpretation, preparation of manuscript. JF: study design, interpretation, revision of  
276 manuscript. SW: interpretation, revision of manuscript. JZ: interpretation, revision of manuscript.  
277 CG: study idea, interpretation, revision of manuscript.

278 **6 Conflict of Interest**

279 The authors declare that the research was conducted in the absence of any commercial or financial  
280 relationships that could be construed as a potential conflict of interest.

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## 345 9 Figure legends

### 346 **Figure 1. Experimental setup:**

347 **A:** Braille stimulator and setup of the human behavioral experiment. For tactile stimulation, the  
348 participants' right hand was resting on a custom-made board containing the Braille stimulator  
349 (QuaeroSys Medical Devices, Schotten, Germany), with the fingertip of the right index finger placed  
350 above the stimulating unit. Visual patterns were presented on a monitor and the participants indicated  
351 whether both patterns were congruent or incongruent. The task was rendered more difficult by  
352 blending the visual pattern in the background noise and by reducing the actuated pin height. **B:** One  
353 example input for visual patterns with 100% intensity (i.e. full black). In both experiments, stimuli  
354 consisted of the same four patterns (1-4). **C:** Setup of robot experiment using a Shadow C6  
355 Dexterous Hand. The BioTac tactile fingertip of the first finger of the hand is placed on the Braille  
356 stimulator.

### 357 **Figure 2. Structure of the neural architectures:**

358 **A:** Structure of the V-architecture. Visual (left column) and tactile data (right column) are processed  
359 separately and statically compared in the end. **B:** Structure of the Y-architecture. Both columns are  
360 first trained separately on visual and tactile data. Afterwards, a number of densely connected layers  
361 and a softmax output layer are added, and the network is trained again on the combined visual and  
362 tactile data.

### 363 **Figure 3. Unimodal performance of the artificial neural network:**

364 **A:** Unimodal performance of the visual pattern detection network which is also used as the visual  
365 branch in the V- and Y-architectures by gray level (% of black). **B:** Unimodal performance of the  
366 tactile pattern detection network which is also used as the tactile branch in the V- and Y-  
367 architectures by pin height (in  $\mu\text{m}$ ).

### 368 **Figure 4: Crossmodal performance of the neural architectures:**

369 **A:** Performance of the V-architecture. Discrimination accuracy of the V-architecture (in %) by pin  
370 height and gray level. Parameters are the gray level (% of black) of the visual pattern and the active  
371 pin height ( $\mu\text{m}$ ) of the Braille stimulator. **B:** Performance of the Y-architecture. Discrimination  
372 accuracy of the Y-architecture (in %) by pin height and gray level. Parameters are the gray level (%  
373 of black) of the visual pattern and the active pin height ( $\mu\text{m}$ ) of the Braille stimulator.

374 **10 Tables**

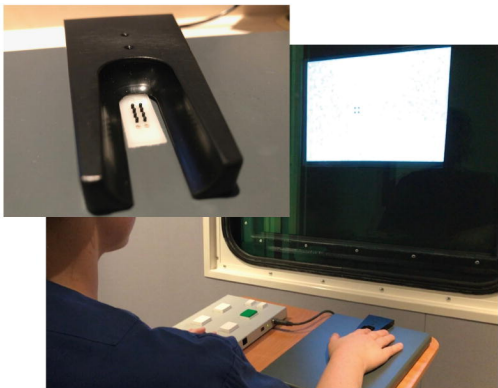
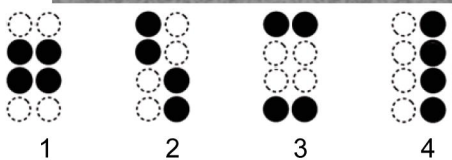
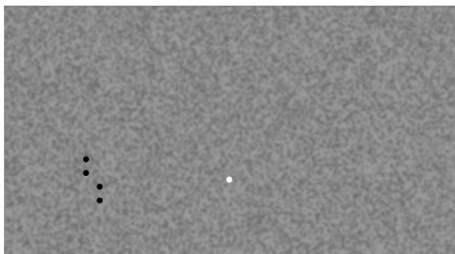
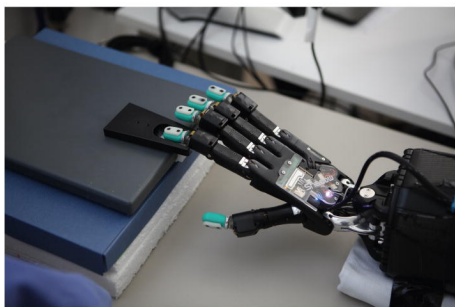
|                       | Pin Height ( $\mu\text{m}$ ) | Gray level (%) | Discrimination accuracy (%) |
|-----------------------|------------------------------|----------------|-----------------------------|
| Older participants    | 1143.0                       | 53.65          | 66.2                        |
| Younger participants  | 576.8                        | 49             | 78.31                       |
| Younger control group | 1355.3                       | 57             | 96.2                        |

375 **Table 1. Performance of older and younger participants in the visuo-tactile discrimination task**  
376 Unimodal tactile (Braille pin height in  $\mu\text{m}$ ) and visual threshold (gray level in % of black) for 80%  
377 detection accuracy, and performance on the visuo-tactile discrimination task at the unimodal  
378 thresholds, for younger and older human participants.

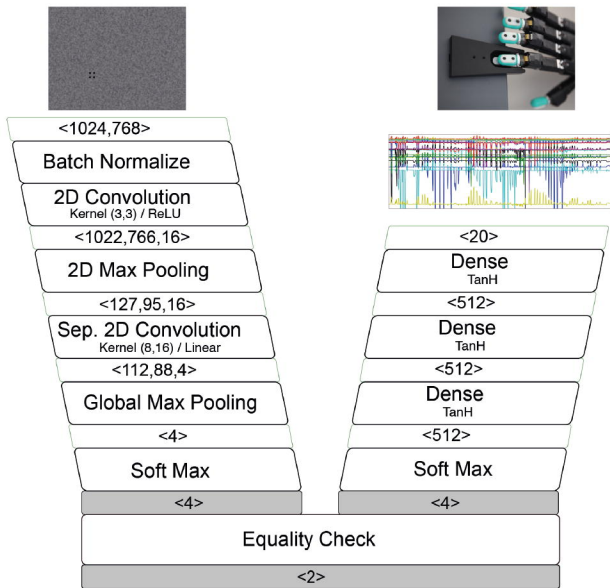
379

| Pin height ( $\mu\text{m}$ ) | Gray level (%) | V-architecture accuracy (%) | Y-architecture accuracy (%) |
|------------------------------|----------------|-----------------------------|-----------------------------|
| 1143                         | 54             | 98.6                        | 97.5                        |
| 593                          | 49             | 56.4                        | 62.5                        |
| 1363                         | 57             | 99.6                        | 99.3                        |

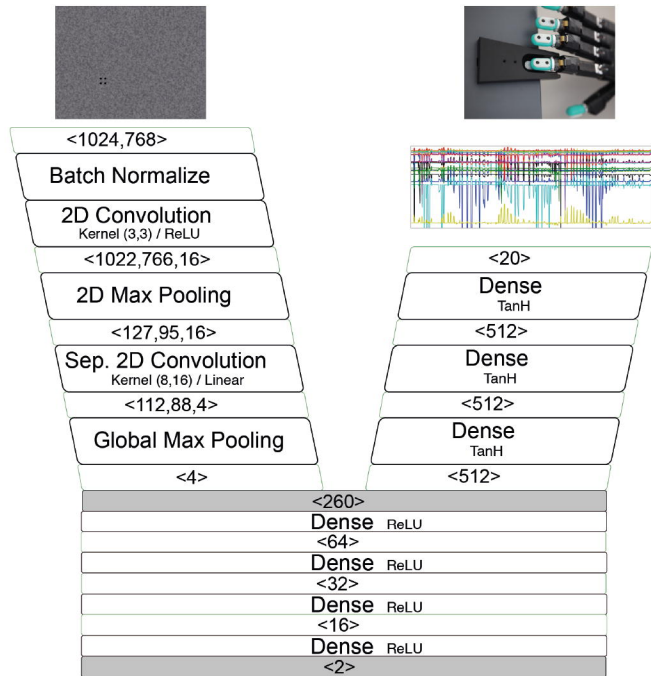
380 **Table 2. Performance of the artificial neural networks compared to human participants**  
381 Discrimination accuracy of the artificial networks at tactile (Braille pin height in  $\mu\text{m}$ ) and visual  
382 (gray level in % of black) signal levels comparable to the thresholds determined in the human  
383 experiments.

**A****B****C**

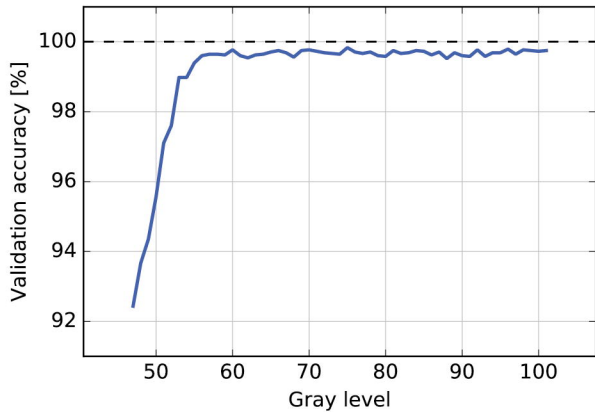
A



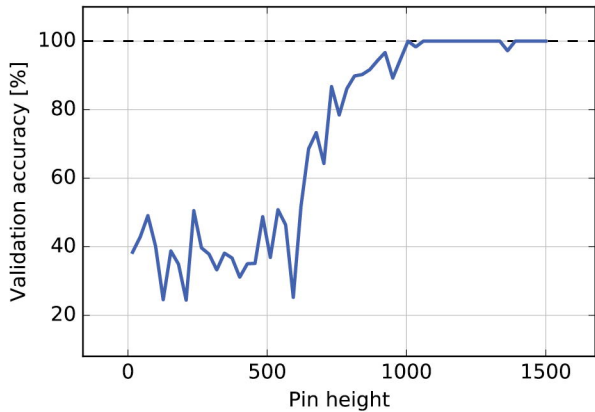
B



A

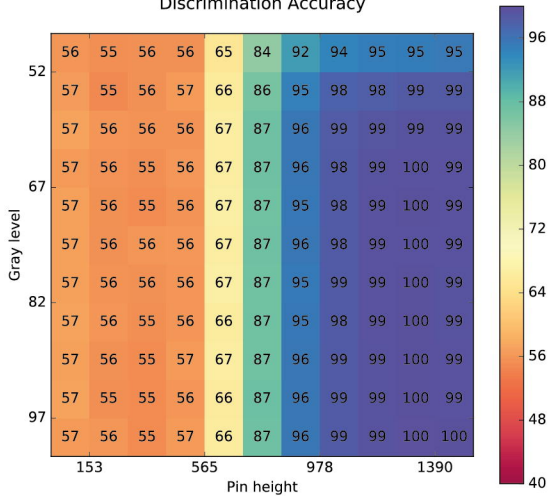


B



A

Discrimination Accuracy



B

Discrimination Accuracy

